



PATENT
Attorney Docket No.: 16869P-024200US
Client Reference No.: 340001387US1

UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Akira Morita et al.

Application No.: 09/900,086

Filed: July 5, 2001

For: PAYMENT PROCESSING
METHOD AND SYSTEM

Examiner: Jalatee Worjloh

Art Unit: 3621

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

The references cited on the attached forms PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the European Search Report for the corresponding European application EP01116445 which cites the enclosed references.

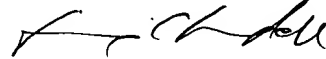
As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the

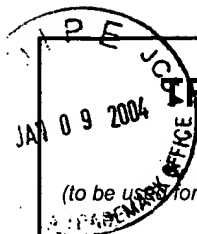
undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Chun-Pok Leung
Reg. No. 41,405

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: 650-326-2400
Fax: 650-326-2422
SYC:rnh
60112997 v1



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/900,086
 Filing Date July 5, 2001
 First Named Inventor Akira Morita
 Art Unit 3621
 Examiner Name Jalatee Worjloh
 Attorney Docket Number 16869P-024200US

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
☐ Amendment/Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/Incomplete Application
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter

☒ Other Enclosure(s)
 (please identify below):

USPTO forms SB08A & B (two pages), copies of 7 cited references, copy European Search Report for EP01116445, Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

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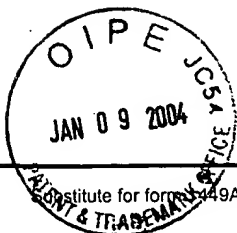
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Townsend and Townsend and Crew LLP Chun-Pok Leung	Reg. No. 41,405
Signature		
Date	1-7-04	

CERTIFICATE OF TRANSMISSION/MAILING


I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Roger Hylton		
Signature		Date	JAN 7, 2004



INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/900,086
		Filing Date	July 5, 2001
		First Named Inventor	Akira Morita
		Art Unit	3621
Examiner Name	Jalatee Worjloh		
Attorney Docket Number	16869P-024200US		
Sheet	1	of	2

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	1	US-6,047,268 ●	04-04-2000	Ronen et al.	
	2	US-6,026,166 ●	02-15-2000	Lebourgeois	
	3	US-5,267,314 ●	11-30-1993	Stambler	
	4	US-5,163,098 ●	11-10-1992	Dahbura	
	5	US-4,630,201 ●	12-16-1986	White	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	6	PCT	WO98/30985	A 	07-16-1998			<input type="checkbox"/>

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60112997 v1



INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/900,086
				Filing Date	July 5, 2001
				First Named Inventor	Akira Morita
				Art Unit	3621
				Examiner Name	Jalatee Worjloh
Sheet	2	of	2	Attorney Docket Number	16869P-024200US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	7	ZHAO et al. "Yet Another Simple Internet Electronic Payment System," Proceedings of the IFIP 1996 World Conference - Mobile Communications, Canberra, Australia, pp.1-8 (1996).	

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² Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.